

To: EPIC Fund Services (Guernsey) Limited (the “Administrator”)
Re: Dominion Global Opportunities Fund PCC Limited
EPIC Fund Services (Guernsey) Limited
Suites 7 & 8, Fourth Floor
Windsor House, Le Pollet
St Peter Port
Guernsey
GY1 1WF
Fax: + 44 (0) 1481 748956
Tel: + 44 (0) 1481 748955
Email: epicqsydealing@epicjp.com

- a. Individual Applicants please complete parts 1, 2, 3, 5, 6 and 7. Corporate Applicants please complete parts 1, 2, 4, 5, 6, and parts 8 and 9 as applicable.
- b. Insert amount subscribed/remitted in the relevant share class and currency.
- c. Please use BLOCK CAPITALS; delete words which do not apply in your case.

Investor Name: _____

I/We the undersigned, in relation to the Fund, hereby irrevocably apply to subscribe for such number of Participating Shares in the Fund ("Shares") as may be subscribed with the amount stated below on the terms of the Fund's current Offering Memorandum and Supplemental Offering Memorandum, which I/we acknowledge having received and read, and undertake to have settled funds as specified below paid in full by telegraphic transfer, for value by 3 p.m. (Guernsey time) on the business day immediately preceding the relevant subscription day for this application. I/We acknowledge that The Fund reserves the right to reject any application in whole or in part

Amount Remitted

DXE (€) Fund (the "Fund"): EUR*:

DXE (US\$) Fund (the "Fund"): USD*:

*Minimum initial investments are EUR50,000.00 for DXF (€) Fund and USD50,000.00 for DXF (US\$) Fund. Any subsequent additional investment must be greater than EUR10,000.00 for DXF (€) Fund and USD10,000.00 for DXF (US\$) Fund.

2. Bank which is transferring funds

Bank:	
Address:	
Branch Code:	
Account Name:	
Account Number:	
IBAN Number:	

*Please note that additional information may be requested if funds are being transferred from an account held other than in the name of the Applicant.

Routing instructions for Telegraphic Transfer (select by currency)

Please ensure your surname/company name is quoted by the remitting bank

Euro <input type="checkbox"/>	Bank Name:	The Bank of New York Mellon, London	USD <input type="checkbox"/>	Bank Name:	Bank of New York Mellon, New York
	SWIFT Code:	IRVTGB2X		Bank BIC:	IRVTUS3N
	Account Name:	Peresec Nominees		ABA:	021000018
	Account Number:	GB52 IRVT 7002 2523 1989 80		Beneficiary Name:	The Bank of New York Mellon SA/NV
	Reference:	2319899780 – 8033058668 – Name of Investor		Account Number:	8900285451
				Reference:	2319898400 – 8901583316 – Name of Investor

Please instruct your bank as above when making your subscription and request the bank to send details of the remittance by email to the Administrator at dominionfunds@epicjp.com

3. Individual Applicants

See Personal Due Diligence requirements A & D in Notes on Handbook Requirements attached to and forms part of this subscription application form under Appendix 1.

Including individuals acting as trustees

Title:	<input type="text"/>	First Name:	<input type="text"/>
Family Name or Surname:	<input type="text"/>		
Legal Name:	<input type="text"/>		
Any Former Names:	<input type="text"/>		
Any Other Names Used:	<input type="text"/>		
Date of Birth:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Country of Birth:	<input type="text"/>
Nationality:	<input type="text"/>		
Other Nationality (if applicable):	<input type="text"/>		
Principal Address:	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	City:	<input type="text"/>
Postcode:	<input type="text"/>	Country:	<input type="text"/>
Occupation and Employer:	<input type="text"/>		
Passport/ID Number:	<input type="text"/>		
Tax Residence:	<input type="text"/>		
Telephone:	<input type="text"/>		
Email:	<input type="text"/> @ <input type="text"/>		

Tick here if a joint application and attach a Subscription Agreement with Parts 3, 5, 6 and 7 completed by other joint applicant(s). The Administrator will usually only correspond with the first named applicant. ☐

Names of other joint Applicants:

4. Corporate Applicants

Including partnerships and corporations acting as trustees

Corporate Details

Company/Partnership

Name:

Any Former Names:

Registered Address:

City:

Postcode:

Country:

Business Address:

(if applicable)

City:

Postcode:

Country:

Place and date

of incorporation:

Place:

Registration Number:

Name of Regulator:

(if applicable)

Company/Partnership

Activity:

Telephone:

Email:

Corporate Status (refer to Corporate Due Diligence requirements, as lettered, in Notes on Handbook Requirements) under Appendix 1

We hereby certify in connection with the acquisition and disposal of shares or units in collective investment schemes managed or administered by the Administrator that:

[tick box that applies]

- a. We are a company, or a subsidiary of a company, listed on a Regulated Market. ☐
- b. We are a collective investment scheme regulated by the Guernsey Financial Services Commission. ☐
- c. We are an Appendix C Firm, regulated by the Regulator. We comply with the Anti-Money Laundering Requirements of our country of domicile and of the Regulator and, in particular we: ☐
- a. confirm that the account will only be operated by us and we have ultimate effective control over our investment in the Fund. You may therefore regard us as your customer in accordance with the terms of Chapter 6 of the Handbook.
- b. confirm that we have appropriate risk-grading procedures in place to differentiate between CDD requirements for high and low risk relationships; and
- c. give assurance that we conduct appropriate and effective CDD procedures in respect of our customers, including enhanced CDD measures for Politically Exposed Persons and other high risk relationships;
- d. We are acting as corporate trustees. ☐
- e. None of the above applies. ☐

4. Corporate Applicants (continued)

Authorised Signatories (corporate bodies only)

The following individuals are authorised to give instructions on the account (alternatively you may enclose a Certified Copy of a corporate resolution which list the Authorised Signatories or a Certified Copy of your Authorised Signature List):

Name: _____ Signature: _____

Name: _____ Signature: _____

Name: _____ Signature: _____

5. Declarations (apply to all applicants)

To: The Fund and the Administrator

A. General Declarations:

I/We confirm, warrant and represent:

1. that I/we have received and read the current Offering Memorandum and Supplemental Offering Memorandum relating to the relevant Fund, including any Supplementary Particulars, and that this application is made on the terms of the Offering Memorandum and Supplemental Offering Memorandum together with any appendices thereto current at the date of this subscription (together, the "Offering Memorandum and Supplemental Offering Memorandum") and subject to the Memorandum and Articles of Incorporation of the Company. I/We acknowledge that the Fund reserves the right to reject any application in whole or in part.
2. that I/we agree with the terms of this Application Form, including the supplied Notes on Handbook Requirements under Appendix 1, (together, the "Subscription Agreement").
3. that, having received, understood and considered a copy of the Offering Memorandum and Supplemental Offering Memorandum, this subscription is based solely on the Offering Memorandum and Supplemental Offering Memorandum and the material contracts therein referred to, together (where applicable) with the most recent audited annual report of the Company and (if issued after such report) its most recent unaudited half-yearly report and that I am/we are not relying on any representations made by placement agents or other third parties.
4. that I/we have noted that the Administrator is licensed under the provisions of the POI Law.
5. that I am/we are not resident for tax purposes in the Bailiwick of Guernsey and that the Shares have not been offered to me/us as members of the public in Guernsey.
6. that I am/we are aware that Shares may not be acquired by a Prohibited Person¹ and that the Directors have the power compulsorily to redeem Shares of any investor if they are or become held by a Prohibited Person. I/We undertake to provide the Administrator with any information requested to enable the Administrator to ascertain whether at any given time I am/we are a Prohibited Person including any person or entity controlling, controlled by or under common control with me/us or any beneficial owners.
7. that I/we acknowledge that an investment in the Fund involves special risks as described in the Offering Memorandum and Supplemental Offering Memorandum that could lead to a loss of all or a substantial portion of any investment made. I/we have the knowledge, expertise and experience in financial matters to evaluate the risks of investing in the Fund, am/are aware of the risks inherent in investing in the assets in which the Fund will invest and the method by which these assets will be held and/or traded, and can bear the loss of my/our entire investment in the Fund.
8. that I am/we are not aware of any action that may have been taken to permit a public offer in my/our jurisdiction and that, if the laws of any territory or jurisdiction outside Guernsey are applicable to my/our application, I/we have complied with all such laws, obtained all governmental and other consents which may be required, complied with all requisite formalities and paid any issue, transfer or other taxes due in connection with my/our application in any relevant territory and that I/we have not taken any action or omitted to take any action which will result in the Fund or its agents or any of their respective officers, agents or employees acting in breach of the regulatory or legal requirements, directly or indirectly, of any territory or jurisdiction outside Guernsey in connection with the Offer for Subscription or my/our application;
9. that I/we agree not to duplicate or to furnish particulars of the Offering Memorandum and Supplemental Offering Memorandum, or to divulge any of their contents, to any person other than my/our investment, legal or tax advisers (who may use the information contained in the Offering Memorandum and Supplemental Offering Memorandum solely for purposes relating to my/our investment in the Fund).
10. that the Fund, the Directors, the Investment Manager, the Investment Adviser and the Administrator are each authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us by facsimile. If instructions are given by me/us by facsimile, I/we undertake to confirm the same in writing. I/We hereby agree to indemnify the Company, the Directors, the Investment Manager, the Investment Adviser and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to each of them as a result of any of them acting on facsimile instructions. The Company, the Directors, the Investment Manager, the Investment Adviser and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instructions or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.
11. that all documents and moneys sent by post to me/us, by or on behalf of the Fund or any of its Agents will be sent at my/our risk and, in the case of documents, may be sent to me/us at my/our address (or in the case of joint holders, the address of the first-named holder) as set out in the Subscription Agreement.
12. that I/we have noted that the Fund's shares are non-certificated and that a confirmation will be issued detailing the number of Shares subscribed for and a reference number, including a personal account number, relating to the subscription.

¹A "Prohibited Person" is defined in the Offering Memorandum and Supplemental Offering Memorandum as follows:

"any person who by virtue of his holding of Participating Shares might, in the opinion of the Directors:

- (i) cause or be likely to cause the Company some pecuniary, tax or regulatory disadvantage;
- (ii) cause or be likely to cause the Company to be in breach of the law or requirements of any country or governmental authority applicable to the Company including, without limitation, any exchange control regulations applicable to it; or
- (iii) whether taken alone or in conjunction with other persons or any other circumstances appearing to the Directors to be relevant) result in the Fund and/or the Member as a whole incurring any liability to taxation or suffering any other regulatory, pecuniary, legal or material administrative disadvantage that the Fund might not otherwise have suffered or incurred."

The Directors are likely to regard US citizens and companies as Prohibited Persons.

5. Declarations (continued)

13. that I/we hereby acknowledge that pursuant to the Data Protection (Bailiwick of Guernsey) Law 2017, (the “DP Law”) the Fund and/or the Administrator may hold personal data (as defined in the DP Law) relating to past and present Shareholder that by submitting personal data to the Administrator:

- (a) I/we represent and warrant that I/we (as applicable):
 - i. have read and understood the terms of the Company’s Privacy Notice as set out in Appendix 2 attached hereto; and/or
 - ii. have brought the Company’s Privacy Notice to the attention of any underlying data subjects on whose behalf or account I/we may act or whose personal data will be disclosed to the Administrator as a result of entering into this Subscription Agreement; and
 - iii. I/we have complied in all other respects with all relevant data protection laws (such as The EU Data Protection Directive (95/46/EC), the Data Protection (Bailiwick of Guernsey) Law, 2017 (the **Data Protection Law**), the Electronic Communications Data Protection Directive (2002/58/EC) and the EU’s General Data Protection Regulation (2016/679/EC) (**GDPR**), (collectively, the **“Data Protection Laws”**)); in respect of disclosure and provision of personal data to the Administrator.

Where I/we act for or on account of an underlying data subject, I/we shall, in respect of the personal data I/we process in relation to or arising out of this Subscription Agreement:

- (a) comply with the Data Protection Laws;
- (b) take appropriate technical and organisational measures against unauthorised or unlawful processing of the personal data and against accidental loss or destruction of, or damage to the personal data;
- (c) if required, agree with the Administrator the responsibilities of each such entity as regards relevant data subjects’ rights and notice requirements; and
- (d) immediately on demand, fully indemnify the Administrator and keep them fully and effectively indemnified against all costs, demands, claims, expenses (including legal costs and disbursements on a full indemnity basis), losses (including indirect losses and loss of profits, business and reputation), actions, proceedings and liabilities of whatsoever nature arising from or incurred by the Administrator in connection with any failure by me/us to comply with the provisions of this clause .

I/We undertake:

to provide the above confirmations/representations to the Fund at such times as the Fund or the Administrator may request, and to provide on request such certifications, documents or other evidence as the Fund or the Administrator may reasonably require to substantiate such confirmations/representations.

to notify the Administrator immediately if I/we become aware that any of the confirmations/representations in this Subscription Agreement are no longer accurate and complete in all respects.

to supply all documents necessary for the Administrator to satisfy the requirements of the Regulations and the Handbook. Details are provided in the Appendix 1 attached hereto.

14. that I/we hereby acknowledge that such personal data held is used by the Administrator to maintain the Fund’s register of Shareholders and mailing lists and this may include sharing such data with third parties in one or more of the countries mentioned below when (a) effecting the payment of dividends to Shareholders and the payment of commissions to third parties and (b) filing returns of Shareholders and their respective transactions in Shares with statutory bodies and regulatory authorities.

15. that I/we hereby acknowledge that the countries referred to above include but need not be limited to, those in the European Economic Area or the European Union and any of their respective dependent territories overseas, Argentina, Australia, Brazil, Canada, Hong Kong, Hungary, Japan, New Zealand, Singapore, South Africa, Switzerland and the United States of America. I/We acknowledge that personal data may be transferred to a country or territory outside of the Bailiwick of Guernsey that does not ensure an adequate level of protection for the rights and freedoms of “data subjects” (as defined in the DP Law) in relation to the processing of personal data and your consent to any such transfers.

16. that I/we hereby acknowledge that by becoming registered as a holder of Shares in the Fund a person becomes a data subject (as defined in the DP Law) and is deemed to have consented to the processing by the Fund or the Administrator of any personal data relating to them in the manner described above.

B. Additional Declarations

17. ☐ (Natural persons only) I confirm that I have reached the age of majority under the laws of my country of nationality or domicile.
18. ☐ (Corporate applicants only) We hereby confirm that we have the full right and power to make this Application and invest in Shares and all necessary corporate action has been taken to authorise this application and such investment.

C. Anti-money Laundering Declarations

19. I/We acknowledge that measures aimed at countering financial crime and terrorist financing, and specifically the Criminal Justice (Proceeds of Crime) (Financial Services Businesses) (Bailiwick of Guernsey) Regulations 2007, as amended (the "Regulations"), may require me/us to supply certain identity information and verification of that information. This obligation is absolute but may vary in accordance with the applicable criteria set by the Guernsey Financial Services Commission (the "Commission") from time to time. I/We acknowledge that Shares will not be issued until such time as the Administrator has received and is satisfied with all the information and documentation requested to verify my/our identity and address. I/We acknowledge that the Administrator shall be held harmless against any loss arising as a result of a failure to process my/our application for Shares if such information and documentation as has been requested by the Administrator has not been provided by me/us.

I/We undertake to supply all information and documents necessary for the Administrator to satisfy the requirements of the Regulations and the Commission. Details are provided in the Administrator's current Notes on Handbook Requirements, which accompany and form part of the Subscription Agreement, See Appendix 1 receipt of which is hereby acknowledged.

I/We acknowledge that the Fund or the Administrator on its behalf also reserves the right to refuse to make any redemption payment or distribution to a Shareholder otherwise than to the account from which the corresponding subscription funds were paid if any of the Directors of the Company or the Administrator suspects or is advised that the payment of any redemption or distribution moneys to such Shareholder might result in a breach or violation of any applicable anti-money laundering or other laws or regulations by any person in any relevant jurisdiction, or such refusal is considered necessary or appropriate to ensure the compliance by the Company, its Directors or the Administrator with any such laws or regulations in any relevant jurisdiction.

I/We confirm that I/we are not acting, directly or indirectly, (i) in contravention of any applicable laws and regulations, including antimoney laundering regulations or conventions, (ii) on behalf of terrorists or terrorist organisations, including those persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the US Treasury Department's Office of Foreign Assets Control ("OFAC"), as such list may be amended from time to time, (iii) for a senior foreign political figure, any member of a senior foreign political figure's immediate family or any close associate of a senior foreign political figure, unless the Fund, after being specifically notified by me/us in writing that I/we am/are such a person, conducts further due diligence, and determines that such investment shall be permitted, or (iv) for a foreign shell bank (such persons or entities in (i) - (iv) may be regarded as Prohibited Persons).

If any of the foregoing representations, warranties or covenants ceases to be true or if the Company no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Company may be obligated to freeze my/our investment, either by prohibiting additional investments, declining or suspending any redemption requests and/or segregating the assets constituting the investment in accordance with applicable regulations, or my/our investment may immediately be redeemed by the Company, and the Company may also be required to report such action and to disclose my/our identity to OFAC or other authority. In the event that the Company is required to take any of the foregoing actions, I/we understand and agree that I/we shall have no claim against the Company, the Investment Manager, the Investment Adviser, the Administrator, and their respective affiliates, directors, members, partners, shareholders, officers, employees and agents for any form of damages as a result of any of the aforementioned actions.

I/We understand and agree that any redemption proceeds paid to me/us will be paid to the same account from which my/our investment in the Fund was originally remitted, unless the Company, in its sole discretion, agrees otherwise.

5. Declarations (continued)**D. Continuing Declarations**

I/We agree and undertake:

20. to indemnify and hold harmless the Company, the Directors, the Investment Manager, the Investment Adviser, the Administrator and the Shareholders against any loss, liability, damages, penalties, cost fees and expenses (including without limitation legal fees, disbursements and taxes) which may result, directly or indirectly, from any inaccuracy misrepresentation or breach of any warranty, condition, covenant or agreement contained herein or in any other document delivered by the undersigned to the Company.
21. that I/we shall be deemed to make, on a continuing basis, each of the confirmations, representations, warranties and statements contained herein unless I/we notify you to the contrary in relation to any Shares I/we may hold at any time.
22. to provide the above confirmations, representations, warranties and statements to the Company at such times as the Company or the Administrator may request, and to provide on request such certifications, documents or other evidence as the Company or the Administrator may reasonably require to substantiate such confirmations/representations.
23. to notify the Administrator immediately if I/we become aware that any of the confirmations/representations in the Subscription Agreement are no longer accurate and complete in all respects.
24. that I/we shall provide the Administrator with such information as the Administrator reasonably requests from time to time to enable the Administrator to comply with any tax and/or regulatory reporting requirements applicable to the Company.

6. Signatures (all applicants to sign)

By signing below:

- a. I/We confirm that the subscription is made on the terms and conditions set out herein including the General Notes and the Notes on Handbook Requirements under Appendix 1.
- b. I/We certify the above information to be true and correct and that I/we will supply all required information with my/our application.
- c. I/We confirm that, if the Administrator accepts further subscriptions into the Company, the above information, confirmations, representations, warranties and statements may be relied upon in the absence of any changes advised to the Administrator.

Signature: _____ Signature: _____

Name (block capitals): _____ Name (block capitals): _____

Position (if applicable): _____ Position (if applicable): _____

Date: _____ Date: _____

Please mail the original of this form to the Administrator; if you send a facsimile copy please also mail the original to the Administrator.

General Notes:

1. This application must be received by EPIC Fund Services (Guernsey) Limited re Dominion Global Opportunities Fund PCC Limited, Suites 7 & 8, Fourth Floor, Windsor House, Le Pollet, St Peter Port, Guernsey GY1 1WF. Facsimile copies may be sent to the Administrator on +44 (0) 1481 748956, provided the original is immediately dispatched by mail. The Administrator will issue a written confirmation to successful applicants.
2. To be valid, Subscription Agreements must be in the name(s) of and signed by each applicant if there is more than one applicant. In the case of a partnership, all partners must sign, and in the case of a corporate client Authorised Signatories should sign.
3. If the Subscription Agreement is signed under a power of attorney, such power of attorney or a duly Certified Copy thereof must accompany the Subscription Agreement.
4. If this form is not fully completed to the satisfaction of the Administrator (including the provision of any additional information or documents the Administrator in its absolute discretion requires), the Subscription Agreement may not be accepted. The Directors of the Company also have the power to reject subscriptions.
5. Shares are available for subscription at the relevant Subscription Price on each Subscription Date. The Subscription Price will be equal to the Net Asset Value per Share as at the Valuation Date immediately preceding the Subscription Date on which the application is effective.
6. It is the usual requirement of the Administrator that funds will only be returned on redemption to the bank and the bank account from which the original funds for investment originated. If for any reason this is not possible, or if a subscriber wishes to change the details for payment, the Administrator reserves the right to make further due diligence enquiries to satisfy itself that the change in details is acceptable. For this reason, any change in bank account details may result in delays in payment of redemption proceeds. Subscribers are advised to inform the Administrator well in advance of this eventuality.
7. For the purposes of the Subscription Agreement collective investment schemes which are exempt from tax in Guernsey are regarded as non-resident for tax purposes in the Bailiwick of Guernsey.
8. Terms defined in the Subscription Agreement including terms defined in the Notes on Handbook Requirements are shown in italics. Terms not otherwise defined in the Subscription Agreement or in the Notes on Handbook Requirements shall have the meaning given to them in the Offering Memorandum and Supplemental Offering Memorandum.

7. Individual Self Certification

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with HMRC. If you have any questions about your tax residency, please contact your tax advisor.

If you have any questions about how to complete this form, please contact your tax advisor.

Should any information provided change in the future, please ensure you advise us of the changes promptly.

Part I – Individual Identification

a) Title:

b) Family Name or Surname:

c) First or Given Name:

d) Middle Names(s):

e) Permanent Residence Address (do not use a P.O. box or an 'in care of' address):

f f

City:

Postcode: Country:

f) Place and Country of Birth:

g) Date of Birth:

Part II – Tax Residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in the table below. If you are a US citizen or resident, please include United States in this table along with your US Tax Identification Number.

Country/Countries of Tax Residency	Tax Reference Number*
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

*If you are a UK, Jersey, Guernsey, Isle of Man or Gibraltar resident then please supply your National Insurance or Social Security Number as appropriate, in addition to your Tax Reference Number.

If you are not resident in any country for tax purposes, please tick this box: ☐

Part III – Declaration and signature

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

I have taken due note of the references to the Foreign Account Tax Compliance Act ("FATCA") and relevant Inter-Governmental Agreements relating to that Act contained in the Offering Memorandum. I/we confirm that I/we will provide such information as the Administrator may require to enable it to provide returns under FATCA and/or such Inter-Governmental Agreements and I/we acknowledge that such returns may result in the provision of information on my/our investment in the Fund to the governmental authorities in Guernsey and/or elsewhere.

I/we shall provide the Administrator with such information as the Administrator reasonably requests from time to time to enable the Administrator to comply with any tax and/or regulatory reporting requirements applicable to the Fund.

Print name: Signature: Date:

Print name: Signature: Date:

Signing Instructions: Any to sign: ☐ All to sign: ☐

Tax regulations require us to collect certain information about each investor's tax classifications. Please complete the sections below as directed and provide any additional information that is requested.

Should any information provided change in the future, please ensure you advise us of the changes promptly.

If your entity or branch has multiple countries of tax residency, please tick this box and complete one self-certification form for each country.

Postcode: Country:

Telephone: _____

Postcode: Country:

Telephone: _____

If the organisation is not a US Specified Person, please tick this box. ☐

Part II – US FATCA certification

Part II of this form is in relation to US FATCA only.

If you have indicated in Part I that the entity or organisation is resident for tax purposes in the United States, please leave this part blank and proceed to Part III.

If your entity or organisation is a Financial Institution (“FI”) please complete Section A below.

Section A

a) Organisation’s Global Intermediary Identification Number (“GIIN”):

b) If you do not have a GIIN but you are sponsored by another entity which does, please provide your sponsor’s name and GIIN:

Sponsor’s Name:

Sponsor’s GIIN:

c) If you are a Trustee Documented Trust then please provide the trustee’s name and GIIN:

Trustee’s Name:

Trustee’s GIIN:

d) Please tick this box if the organisation is an Investment Entity

☐

e) If you are unable to provide a GIIN, and you are not sponsored by another entity (b. above), please choose a reason why your organisation doesn’t have a GIIN from the list below:

1. it is an FI in a Model I IGA country but has not yet obtained a GIIN

☐

2. it is an FI that intends to apply for a GIIN but has not yet applied or has not yet received it

☐

3. it is an Exempt Beneficial Owner

☐

4. it is a Certified Deemed Compliant Financial Institution

☐

5. it is an Owner Documented Financial Institution

☐

6. it is a Non-Profit Organisation

☐

7. it is a Non-Participating Foreign Financial Institution

☐

8. Other (please state):

Section B

If your organisation is not an FI, please confirm its status below:

1. Active Non-Financial Foreign Entity

☐

2. Passive Non-Financial Foreign Entity

☐

3. Excepted Non-Financial Foreign Entity

☐

4. Direct Reporting Non-Financial Foreign Entity

☐

If you have ticked box 4, please provide your GIIN:

Part III – Additional classification under UK FATCA

Part III of this form is in relation to UK FATCA only.

If you are a Financial Institution that is not resident in the UK for tax purposes please tick this box ☐

Please tick all the boxes that apply:

a) your organisation is a Non-Financial Entity:

Active Non-Financial Entity ☐

Passive Non-Financial Entity ☐

b) your organisation is a Non-Profit Organisation ☐

c) a. and b. do not apply to your organisation ☐

Part IV – Passive NFFE/NFE Controlling Persons Information

If your organisation is a Passive NFFE/NFE please list below each Controlling Person, who is either a UK or a US Specified Person, including all the required information. Please attach additional pages if necessary.

Name	Country of Tax Residence	Address	TIN	City/Town and Country of Birth	Date of Birth DD/MM/YYYY

Part V – Declaration and Signature

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

I certify that I have the capacity to sign for the entity identified in Part I of this form.

I have taken due note of the references to the Foreign Account Tax Compliance Act ("FATCA") and relevant Inter-Governmental Agreements relating to that Act contained in the Scheme Particulars. I/we confirm that I/we will provide such information as the Administrator may require to enable it to provide returns under FATCA and/or such Inter-Governmental Agreements and I/we acknowledge that such returns may result in the provision of information on my/our investment in the Fund to the governmental authorities in Guernsey and/or elsewhere.

I/we shall provide the Administrator with such information as the Administrator reasonably requests from time to time to enable the Administrator to comply with any tax and/or regulatory reporting requirements applicable to the Fund.

Print Name: _____ Signature: _____

Date: _____ Capacity: _____

9. Entity Self Certification – Other entities

Tax regulations require us to collect certain information about each investor's tax classifications. Please complete the sections below as directed and provide any additional information that is requested.

If you have any questions about how to complete this form, please contact your tax advisor.

Should any information provided change in the future, please ensure you advise us of the changes promptly.

Part I – Organisation Details and Tax Residence

If your entity or branch has multiple countries of tax residency, please tick this box and complete one self-certification form for each country.

a) Name of the entity or organisation:

b) Permanent residence address (do not use a P.O. box or an 'in care of' address):

 City: Postcode: Country: Telephone:

c) Mailing address (if different from above):

 City: Postcode: Country: Telephone:

d) Country of incorporation/organisation:

e) Country of tax residence:

f) Organisation's Taxpayer Identification Number ("TIN") or Tax Reference Number:

g) If the organisation is not a US Specified Person, please tick this box ☐

Part II – US FATCA certification

Part II of this form is in relation to US FATCA only.

If you have indicated in Part I that the entity or organisation is resident for tax purposes in the United States, please leave this part blank and proceed to Part III.

Section A

a) Organisation's Global Intermediary Identification Number ("GIIN"):

b) If you do not have a GIIN but you are sponsored by another entity which does, please provide your sponsor's name and GIIN:

Sponsor's Name:

Sponsor's GIIN:

c) If you are a Trustee Documented Trust then please provide the trustee's name and GIIN:

Trustee's Name:

Trustee's GIIN:

d) Please tick this box if the organisation is an Investment Entity:

☐

e) If you are unable to provide a GIIN, and you are not sponsored by another entity (b. above), please choose a reason why your organisation doesn't have a GIIN from the list below:

1. it is an FI in a Model I IGA country but has not yet obtained a GIIN ☐
2. it is an FI that intends to apply for a GIIN but has not yet applied or has not yet received it ☐
3. it is an Exempt Beneficial Owner ☐
4. it is a Certified Deemed Compliant Financial Institution ☐
5. it is an Owner Documented Financial Institution ☐
6. it is a Non-Profit Organisation ☐
7. it is a Non-Participating Foreign Financial Institution ☐

8. Other (please state):

Section B

If your organisation is not an FI, please confirm its status below:

1. Active Non-Financial Foreign Entity ☐
2. Passive Non-Financial Foreign Entity ☐
3. Excepted Non-Financial Foreign Entity ☐
4. Direct Reporting Non-Financial Foreign Entity ☐

If you have ticked box 4, please provide your GIIN:

Part III – Passive NFFE/NFE Controlling Persons Information

If your organisation is a Passive NFFE/NFE please list below each Controlling Person, who is a US Specified Person, including all the required information.

Please attach additional pages if necessary.

Name	Country of Tax Residence	Address	TIN	City/Town and Country of Birth	Date of Birth DD/MM/YYYY

Part IV – Declaration and signature

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.

I agree that I will submit a new form within 30 days if any certification on this form becomes incorrect.

I certify that I have the capacity to sign for the entity identified in Part I of this form.

I have taken due note of the references to the Foreign Account Tax Compliance Act ("FATCA") and relevant Inter-Governmental Agreements relating to that Act contained in the Scheme Particulars. I/we confirm that I/we will provide such information as the Administrator may require to enable it to provide returns under FATCA and/or such Inter-Governmental Agreements and I/we acknowledge that such returns may result in the provision of information on my/our investment in the Fund to the governmental authorities in Guernsey and/or elsewhere.

I/we shall provide the Administrator with such information as the Administrator reasonably requests from time to time to enable the Administrator to comply with any tax and/or regulatory reporting requirements applicable to the Fund.

Print Name: _____ Signature: _____

Date: _____ Capacity: _____

APPENDIX 1 – NOTES ON HANDBOOK REQUIREMENTS

Defined terms (see the Definitions below) are Capitalised and shown in *italics*

In order to comply with the *Handbook*, subscribers must provide identification information to the Administrator and verification of that information. The Administrator will require identification and verification under one or more of Sections A, B, C, D or E below. This is referred to as Customer Due Diligence or *CDD*. If you have any difficulties with providing this you should contact the Administrator at the address shown. The Administrator reserves the right to seek further information and documents at its own discretion. **This may result in subscribers being issued Fund Shares on a dealing day subsequent to the dealing day on which they initially wished them to be issued or in delays in payment of redemption proceeds for Fund Shares.**

The Definitions at the end of these notes should be referred to when deciding exactly what you will need to supply. In case of doubt please ask the Administrator.

Original documents or *Certified Copies* must always be supplied. All documents must be current (i.e. less than 3 months old) or, as relevant, must not have expired (e.g. in the case of identity documents).

(A) Individuals (Personal Due Diligence)

Where individuals are applying jointly, this information must be provided for each of the individuals.

1. An official document bearing the individual's photograph, such as a passport, driver's licence or government identity document, or a *Certified Copy* of the main photograph page if the original is not being provided. This must enable the Administrator to verify the individual's:
 - a) full name
 - b) date and place of birth
 - c) official identity number, and
 - d) nationality
2. A recent bank statement or utility bill in the name of the individual, or a *Certified Copy* thereof, to confirm the individual's principal residential address; equivalent documents may be acceptable - please ask the Administrator if in doubt.
3. The individual should supply details of their occupation and employer, and of any former names (e.g. maiden name) used.

(B) Appendix C Firm

1. *Written confirmation* of the status of the firm; and
2. An *Authorised Signature List*.

(C) Company listed on a Regulated Market (includes subsidiaries); collective investment scheme regulated in Guernsey.

1. *Written confirmation* of listing on the *Regulated Market* or regulation in Guernsey; and
2. An *Authorised Signature List*.

(D) For Trusts - personal or corporate trustees (Trust Due Diligence)

1. *Certified Copy* of the Trust Deed (or extracts giving sufficient detail to identify the parties to the Trust) including the signature/seal pages;
2. *Personal Due Diligence* or *Corporate Due Diligence*, as appropriate, on the parties involved; and
3. A description of the nature and purpose of the Trust.

Note: The parties to the Trust include the trustee(s), the settlor, the protector (if any) and named beneficiaries. Similar documents will be required for Foundations - ask the Administrator for details.

(E) For all other Corporate Bodies

1. A *Certified Copy* of:
 - a) The *Corporate Body's* certificate of incorporation or equivalent such as a partnership certificate, including date of incorporation and any registered number, if applicable;
 - b) Any name changes, if applicable;
 - c) The *Corporate Body's* Memorandum and Articles of Incorporation or equivalent document, such as a partnership deed;
 - d) A list of all directors/partners etc.; details of the company secretary and of any other persons having a *large shareholding* or having ultimate or effective control over the *Corporate Body*;
 - e) The share register or equivalent (to establish existence of any large shareholdings);
2. An *Authorised Signature List*; and
3. The *Corporate Body's* head office and registered address, suitably verified (if not provided under 1.a) above).

All individuals who are directors, *Authorised Signatories*, large shareholders and controllers must provide *Personal Due Diligence*. If the of these parties is a *Corporate Body*, *Corporate Due Diligence* will also be required on that body. If any of these parties is a trust, *Trust Due Diligence* will be required.

APPENDIX 1 – NOTES ON HANDBOOK REQUIREMENTS (continued)

Definitions

Administrator	EPIC Fund Services (Guernsey) Limited, which is licensed under the <i>POI Law</i> .
Appendix C Firm	See the definition contained in the <i>Handbook</i> ; broadly, a regulated financial services business in a reputable country, but <u>excluding</u> trustees and fiduciaries unless regulated by the <i>Commission</i> .
Approved Signatory	<ul style="list-style-type: none"> - An embassy, consulate or high commission of the country of issue of the relevant documents; - A member of the judiciary, a senior civil servant or a serving armed forces, police or customs officer; - A lawyer or notary public, actuary or accountant who is a member of a recognised professional body; - A member of ICOSA; - A director or officer of an <i>Appendix C Firm</i>; or - In the case of a legal body certifying its own internal documents (excluding official documents), two Authorised Signatories.
Authorised Signature List/Signatories	The full names and original specimen signatories of the persons authorised to operate the account (or a <i>Certified Copy</i> thereof), or as provided in the <i>Subscription Agreement</i> . Persons listed are referred to as <i>Authorised Signatories</i> .
CDD	Customer Due Diligence as defined in the <i>Handbook</i> and further detailed in these notes.
Certified Copy	<p>A copy certified as follows (if containing photographic identity): “Certified that I have met [individual’s full name] and that this is a true and correct copy of [type of document] issued to that person and contains a true likeness”</p> <p>For documents <u>not</u> containing photographic identity: “Certified a true and correct copy of [type of document] in the name of [individual’s/company’s full name]” by an <i>Approved Signatory</i>, which is dated and identifies the signatory and his or her position. Copies of certified documents are not acceptable.</p> <p>Copies of internal corporate documents (but not of official documents) certified by <i>Authorised Signatories of a Corporate Body</i> are also acceptable.</p>
Commission	The Guernsey Financial Services Commission.
Corporate Due Diligence	The documents specified in (B), (C) or (E) above, as appropriate.
Corporate Body	Any type of legal entity such as a company, partnership, trust, foundation etc.
Fund	The collective investment scheme to which the relevant application relates.
Fund Shares	Participating Shares or Units in the Fund.
Handbook	Handbook for Financial Services Businesses on Countering Financial Crime and Terrorist Financing issued by the Commission (the current version is available on the website of the Commission at www.gfsc.gg). The Handbook contains a copy of the Regulations.
Large shareholding	25% interest in the capital or net assets of a Corporate Body.
Scheme Particulars	A Fund’s Prospectus (or equivalent) as described in the Subscription Agreement, including any supplementary scheme particulars and/or appendices current at the date of the Subscription Agreement.
Personal Due Diligence	The documents specified in (A) above.
POI Law	The Protection of Investors (Bailiwick of Guernsey) Law, 1997, as amended.
Regulated Market	As defined in the Insider Dealing (Securities and Regulated Markets) Order, 1996, as amended; broadly the major EU stock exchanges including the LSE (but not including, for example, any US stock exchanges).
Regulations	The Criminal Justice (Proceeds of Crime) (Financial Services Businesses) (Bailiwick of Guernsey) Regulations, 2007, as amended.
Regulator	The regulatory organization to which an applicant is subject.
Subscription Agreement	The subscription agreement (including application form) entered into by an applicant for Fund Shares, which incorporates these notes.
Trust Due Diligence	The documents specified in (D) above (which may also refer to foundations).
Written confirmation	Includes a Certified Copy of a print-out from an official site such as www.gfsc.gg which clearly identifies the firm and its status.

APPENDIX 2 – PRIVACY POLICY

Definitions

Reference to:

“**Dominion**” or “**we**” or “**us**” means Dominion Global Opportunities Fund PCC Limited and its cells;

“**EPIC**” means EPIC Fund Services (Guernsey) Limited, appointed data processor and administrator;

“**You**” or “**your**” means the prospective investor or an individual or institution for whom you are authorised to act.

Further definitions can be found in the Data Protection (Bailiwick of Guernsey) Law, 2017.

Introduction

This Privacy Notice sets out how personal data (i.e. information that directly or indirectly identifies you) is collected, processed, and disclosed by, or on behalf of, Dominion. We take the privacy and security of your information seriously and will only use such personal data as set out in this Privacy Notice.

This Privacy Notice should be read in conjunction with Dominion’s Prospectus and Application Form, which set out the Terms and Conditions of investment into Dominion.

EPIC, as administrator of Dominion acts as data processor in respect of personal data collected by Dominion for the purposes of the administration of Dominion but there may be instances where EPIC acts as data controller in respect of such personal data. Further details can be found in EPIC’s privacy policy referred to below.

The information we collect

1. In the course of offering or providing services to you, we may collect information that personally identifies you. The personal data we collect may include:
 - a) your name, title, and contact details (including email address and phone number);
 - b) your professional title and occupation;
 - c) your age;
 - d) financial information (including bank account details);
 - e) personal identifiers such as your social security number, national insurance number, or tax number;
 - f) information which we need to conduct ‘know your client’ checks such as details relating to your passport and residential address; and
 - g) other information you provide to us in the course of your dealings with us or which we require in order to provide you with Dominion’s product and services.
2. In certain, very limited, cases, we may also collect what is known as “special categories” of personal data. The money laundering, sanctions, financial crime, and fraud prevention checks required by legislation sometimes result in us obtaining information about actual or alleged criminal convictions and offences, as well as in respect of Politically Exposed Persons (PEPs).
3. You are not obliged to provide us with your information where it is requested but we may be unable to provide certain products and services or proceed with our business relationship with you if you do not do so. Where this is the case, we will make you aware.

How we collect your data

1. We primarily collect your personal data from the following sources:
 - a) from information which you give us, including but not limited to:
 - i. applications or other materials you submit to us at the start or during the course of your relationship with us;
 - ii. your interactions with us, transactions, and use of our services (including but not limited to the use of our website);
 - iii. your business dealings with us, including via email or telephone;
 - iv. third parties (including for money laundering checks, among other things); and
 - v. recording and monitoring tools that we use for compliance or security purposes (e.g. recording of telephone calls, monitoring emails, etc.).
 - b) personal data we receive from you or any third party sources which may include:
 - i. entities in which you or someone connected to you has an interest;
 - ii. your legal and/or financial advisors;
 - iii. other financial institutions who hold and process your personal data to satisfy their own regulatory requirements;
 - iv. financial crime databases for the purposes of complying with our regulatory requirements; and
 - v. information collected via our website (including cookies and IP addresses).
2. We may also collect and process your personal data in the course of dealing with advisors, regulators, official authorities, and service providers with whom we have a contract.

APPENDIX 2 – PRIVACY POLICY (continued)

Why we collect your Personal data*Lawful grounds for processing*

1. We are entitled to hold and process your personal data on the following lawful grounds:
 - a) the processing is necessary for our legitimate interests, provided that your interests and fundamental rights do not override those interests;
 - b) where the customer is a natural person, the processing is necessary to comply with our respective contractual duties to you under the terms of the Application Form you complete upon application for Dominion's products and services;
 - c) to comply with the legal and regulatory obligations of any regulatory authority, including but not limited to the Guernsey Financial Services Commission and the Financial Conduct Authority;
 - d) (on exceptional occasions) where we have obtained your consent; and
 - e) (on rare occasions) where it is needed in the public interest.
2. Some of the grounds for processing described above will overlap and there may be several grounds that justify our use of your personal data.

Inaccurate or Amended Information

1. It is your responsibility to let us know as soon as possible of any change to your personal data (including correspondence details). Failure to provide accurate or updated information may have a detrimental impact upon the services offered to you. Failure to provide information where it is required for anti-money laundering requirements, pursuant to automatic exchange of information agreements, or other legal requirements means we may not, or may no longer, be able to accept you as a client.

Purposes of processing

1. We may process your personal data for the purposes set out below.
 - a) communicating with you as necessary in connection with the services provided to you;
 - b) supporting EPIC, Dominion Fund Management Limited ("DFML") and other appointed service providers in the processing of your personal data in connection with the services provided by Dominion;
 - c) monitoring and recording telephone and electronic communications and transactions:
 - i. for quality, business analysis, training, and related purposes in order to improve service delivery;
 - ii. for investigation and fraud prevention purposes, for crime detection, prevention, investigation, and prosecution of any unlawful act (or omission to act); and
 - iii. to enforce or defend Dominion's respective rights, or through third parties to whom we may delegate such responsibilities or rights in order to comply with a legal or regulatory obligation imposed on us;
 - d) disclosing your personal data (including identity and interest in company or specified accounts) to any bank or financial institution in connection with Dominion's responsibilities, legal obligations, and services provided to you;
 - e) detecting and preventing crime such as fraud, money laundering, terrorist financing, bribery, corruption, tax evasion, and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanction on an ongoing basis ("Regulatory Assessments");
 - f) facilitating the provision of services to you and retaining your personal data as part of our Regulatory Assessments or future services entered into by you;
 - g) liaising with or reporting to any regulatory authority (including tax and wider government authorities); and
 - h) communicating with our professional advisers for the purposes of obtaining professional advice.
2. We will only use your personal data for the purposes for which we collected it unless we reasonably consider that we need to use it for another reason and that reason is compatible with the original purpose.
3. If we need to use your personal data for an unrelated purpose, we will notify you and we will explain the legal basis that allows us to do so. Please note that we may process your personal information without your knowledge or consent, in compliance with the above rules, where these are required or permitted by law.
4. To the extent that such personal data contains special category data such as data relating to racial or ethnic origin, political opinion, religious or philosophical belief, trade union membership, or criminal data then the processing of such data shall solely be for the purpose of complying with any duty imposed on Dominion by an enactment including, but not limited to, legislation and regulatory obligations relating to Anti-Money Laundering and Combatting the Financing of Terrorism and all other related legislation.
5. Dominion will not make decisions about you based on automated processing of your personal data.

APPENDIX 2 – PRIVACY POLICY (continued)

How we process your data

1. The processing of your personal data in relation to the subscription to Dominion's products and services is carried out by EPIC pursuant to an Administration Agreement dated 1st July 2015 (as amended from time-to-time). Dominion acts as the data controller.
2. EPIC will only process personal data to the extent it is required to fulfil legal and regulatory obligations as previously described. At no point are EPIC allowed to process personal data outside of an instruction from Dominion or that which is permitted by the Administration Agreement. However, EPIC may, independently of Dominion, request information from you for the purposes of fulfilling their regulatory obligations.
3. EPIC is not permitted to appoint a sub-processor for the processing of personal data without written approval from Dominion.
4. EPIC will retain personal data in conjunction with their data retention policy (as amended from time-to-time).
5. Neither EPIC nor Dominion will use your data for marketing purposes.
6. Further details relating to how EPIC processes data can be found in EPIC'S privacy policy, which is available at <https://epicip.com/privacypolicy/1>

Sharing personal data

1. Dominion may share your personal data with third parties (including EPIC, DFML, auditors, legal professionals, custodians and other third party service providers) under the terms of any appropriate delegation or contractual arrangement. Those authorised third parties may, in turn, process your personal data abroad and may have to disclose it to foreign authorities to help them in their fight against financial crime.
2. We may transfer your information to locations outside the European Economic Area (which for the purposes of this Privacy Notice includes the Bailiwicks of Guernsey and Jersey, the "EEA").
3. Where we transfer your information outside of the EEA, however, we will ensure that the transfer is subject to appropriate safeguards in accordance with data protection laws. Often, these safeguards include contractual safeguards. Please do contact us if you would like more information about these safeguards.

Retention of personal data

1. Your personal data will be retained for the longest of the following periods:
 - a) for Dominion and/or any authorised third parties to carry out the Purposes for which the data was collected or as long as is set out in any relevant agreement you enter into with us;
 - b) in order to establish or defend legal rights or obligations or to satisfy any reporting or accounting obligations; and/or
 - c) any retention period that is required by the Data Protection (Bailiwick of Guernsey) Law, 2017 and any other applicable laws or regulatory requirements. We endeavor to store your personal data securely and in accordance with accepted market standards.
2. Whilst we have taken every reasonable care to ensure the implementation of appropriate technical and security measures, we cannot guarantee the security of your personal data over the internet, via email, or via our website nor do we accept, to the fullest extent permitted by law, any liability for any errors in data transmission, machine, software, or operating error or any other cause.

Your rights

1. You have, under certain circumstances, the following rights in respect of personal data:
 - a) the right to access personal data;
 - b) the right to rectify personal data;
 - c) the right to restrict the use of personal data;
 - d) the right to request that personal data is erased;
 - e) the right to object to processing of personal data; and
 - f) where Dominion or an authorised third party has relied on consent to process the personal data, the right to withdraw consent at any time by contacting us.
2. You also have the right to lodge a complaint with the Guernsey Office of the Data Protection Authority and/or a supervisory authority in the EU member state of your usual residence or place of work or of the place of the alleged breach, if you consider that the processing of your personal data carried out by Freedom or an authorised third party, has breached data protection laws.
3. You may also appeal to certain courts against (i) any failure of the Guernsey Office of the Data Protection Authority to give written notice of whether the complaint is either being investigated or not being investigated and where applicable, the progress and the outcome of the investigation and (ii) a determination of the Guernsey Office of the Data Protection Authority not to investigate the complaint or a determination that a controller or processor has not breached or is not likely to breach an operative provision in connection with the complaint. More information on the Guernsey Office of the Data Protection Authority can be found at <https://odpa.gg>.
4. In limited circumstances, we may approach you for your written consent to allow us to process certain, particularly special category, data or to use data for another purpose. Where you may have provided your consent to the collection, processing, and transfer of your personal data for a specific purpose, you have the right to withdraw your consent for that specific processing at any time. To withdraw your consent, please contact us. Once we have received notification that you have withdrawn your consent, we will no longer process your information for the purpose or purposes you originally agreed to, unless we have another legitimate basis for doing so in law.

APPENDIX 2 – PRIVACY POLICY (continued)

How to contact us

If you have any questions about our use of your personal data, our retention procedures, or our security processes, please contact the Company Secretary at investor@epicip.com.

Changes to this Policy

This Privacy Notice is dated 27 April 2021.

We reserve the right to amend this Privacy Notice at any time without notice, in which case the date of the policy will be revised.